

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: TETSU YAMAMOTO

SERIAL NO. 09/889,971

FILED:

FOR: METHOD FOR PRODUCING BRIDGED  
POLYMER MEMBRANE AND FUEL CELL

ART UNIT: TO BE ASSIGNED

EXAMINER: TO BE ASSIGNED

EL878195363US

Asst. Commissioner for Patents

Washington, D.C. 20231

"EXPRESS MAIL" NO. EL878195363US DATE: August 16, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

CARRIE A. MCPHERSON

(TYPED OR PRINTED NAME OF  
PERSON MAILING PAPER OR FEE)

Carrie A. McPherson  
(SIGNATURE OF PERSON MAILING  
PAPER OR FEE)

TRANSMITTAL OF MISSING PARTS

Sir:

Please find the enclosed papers:

- 1) Original Declaration/Power of Attorney form executed by inventors:
- 2) Original executed Assignment along with one Recordation Form Cover Sheet (PTO-1595)

and a check in the amount of \$40.00 to cover the recording fee.

- 3) The undersigned's check for \$130.00 to cover the surcharge for missing parts.
- 4) A copy of the Notice to File Missing Parts (PTO Form PTO-1533) has not yet been received.

The Commissioner is authorized to debit any deficiency, or credit any overpayment, of the above-mentioned fees to our Deposit Account No. 03-2775.

Respectfully submitted,

CONNOLLY, BOVE, LODGE &amp; HUTZ, LLP

By

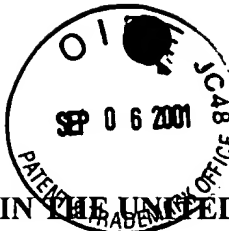
Ashley I. Pezzner

Reg. No. 35,646

Tel. (302) 658-9141

AIP/cam

::ODMA\MHODMA\CB;158110;1



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: TETSU YAMAMOTO )  
SERIAL NO. 09/889,971 ) ART UNIT: TO BE ASSIGNED  
FILED: ) EXAMINER: TO BE ASSIGNED  
FOR: METHOD FOR PRODUCING BRIDGED )  
POLYMER MEMBRANE AND FUEL CELL )

Asst. Commissioner for Patents

Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASST. COMMISSIONER FOR PATENTS AND TRADEMARKS, WASHINGTON D.C. 20231 ON THIS 4th DAY OF September 2001. BY: Candice A. McPherson

COMMUNICATION

With regard to the Notification of Missing Requirements Under 35 U.S.C. 371 and Notification of a Defective Oath or Declaration (copies enclosed) please be informed that applicant has previously filed the MISSING PARTS (including a properly executed Declaration) as evidenced by the following copies of filed papers:

1. Transmittal of Missing Parts mailed on August 16, 2001;
2. Original Declaration/Power of Attorney form executed by inventors.
3. Original executed Assignment along with one Recordation Form Cover Sheet (PTO-1595) and a check in the amount of \$40.00 to cover the recording fee.
4. The undersigned's check for \$130.00 to cover the surcharge for missing parts.

Respectfully Submitted,  
CONNOLLY BOVE LODGE & HUTZ LLP

By: Ashley I. Pezzner

Ashley I. Pezzner

Reg. No. 35,646

Telephone: (302) 888-6270

AIP/cam

::ODMA\MHODMA\CB;161893;1



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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09/889971

YAMAMOTO

5071

ASHLEY I PEZZNER  
CONNOLLY BOVE LODGE & HUTZ  
1220 MARKET STREET  
PO BOX 2207  
WILMINGTON DE 19899T 199/F-012  
INTERNATIONAL APPLICATION NO.

PCT/EP00/00280

I.A. FILING DATE PRIORITY DATE

01/15/00 00/00/00

DATE MAILED: 08/29/01

**NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION**

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371(c)(4) for entry into the national stage in the United States of America. The period within which to correct the deficiency noted below and avoid abandonment is set in the accompanying Notification.

A new oath or declaration, properly identifying this application (preferably by the international application number and international filing date) is required. The oath or declaration does not comply with 37 CFR 1.497(a),(b) and (f) in that it:

1. ☒ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. ☐ does not identify the application to which it is directed.
3. ☐ does not identify the inventor(s).
4. ☐ does not identify the citizenship of each inventor.
5. ☐ does not state that the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b), AND 1.497(d) WHERE APPROPRIATE, WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1. ☐ does not identify the mailing address of each inventor. If the residence is different from the mailing address, then the city and state or city and foreign country of residence of each inventor must also be given.
2. ☐ does not state that the person making the oath or declaration:
  - a. ☐ has reviewed and understands the contents of the application, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
  - b. ☐ acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.
3. ☐ does not identify the foreign application for patent or inventor's certificate for which a claim for priority is made pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.

Lamont Hunter, Paralegal

Telephone: 703 305-3686

FORM PCT/DO/EO/917 (March 2001)

BEST AVAILABLE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231

U.S. APPLICATION NO. 971

YAMAMOTO  
FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/889971

5071

INTERNATIONAL APPLICATION NO. PCT/JP00/00280

ASHLEY I PEZZNER  
CONNOLLY BOVE LODGE & HUTZ  
1220 MARKET STREET  
PO BOX 2207  
WILMINGTON DE 19899

I.A. FILING DATE 09/19/00 PRIORITY DATE 00/00/00

08/29/01

DATE MAILED:

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
  - ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
    - ☒ U.S. Basic National Fee. ☐ Indication of Small Entity Status.
    - ☒ Copy of the international application. ☐ Translation of the international application into English.
    - ☒ Oath or Declaration of inventor(s). ☐ Translation of Article 19 amendments into English.
    - ☐ Copy of Article 19 amendments. ☐ Other:
    - ☒ Priority Document.
    - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
    - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
  - ☐ U.S. Basic National Fee. ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is *not* acceptable for the reasons indicated on the attached notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed: ☒ PCT/DO/EO/917  
☐ PTO-875

☐ Notice of Defective Translation  
☐ PCT/DO/EO/920

Lamont Hunter, Paralegal

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703.305-3686

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